2003

California's Supreme Court and Constitution The Early Years

Joseph R. Grodin

UC Hastings College of the Law, grodinj@uchastings.edu

Follow this and additional works at: http://repository.uchastings.edu/faculty_scholarship

Recommended Citation
Available at: http://repository.uchastings.edu/faculty_scholarship/1066

This Article is brought to you for free and open access by UC Hastings Scholarship Repository. It has been accepted for inclusion in Faculty Scholarship by an authorized administrator of UC Hastings Scholarship Repository. For more information, please contact marcusc@uchastings.edu.
"Rights guaranteed by this Constitution are not dependent on those guaranteed by the United States Constitution."¹

The proposition that the California Constitution is a source of rights independent of the federal Constitution was not new in 1974, when the state constitution was amended to make that proposition explicit. Indeed, the framers of the state constitution would have been astonished to learn otherwise. In 1849, when the delegates to the first state constitutional convention adopted as the first article of their enterprise a "Declaration of Rights," the United States Supreme Court had already made clear, in Barron v. Baltimore,² that the federal Bill of Rights restricted only the national government, and did not limit state authority. Article I, Section 10 of the federal Constitution prohibited states from enacting certain laws, including bills of attainder, ex post facto laws, and laws impairing the obligation of contract, and the high court held that certain state regulations in derogation of federal authority were impliedly prohibited, but otherwise the original federal Constitution provided little or no support for citizens claiming rights against their state. And while the 13th, 14th, and 15th Amendments to the federal Constitution clearly did apply to the states, the high court in the Slaughter-House Cases³ held only a few years after their adoption that they had only very limited

---

¹ John F. DiGardi Distinguished Professor of Law, University of California, Hastings College of the Law; Associate Justice, California Supreme Court (ret.).


³ 83 U.S. (16 Wall.) 36 (1873).
scope, rendering them virtually meaningless outside the area of race discrimination.

And so, in 1879 when the delegates to the second state constitutional convention reiterated article I they, too, had to assume that, except within that limited area, the rights of state citizens against their government would be protected by the constitution they were adopting, or not at all. Indeed, a proposal to add language declaring the United States Constitution to be "the great charter of our liberties" was met with denunciation and rejection: "We had state charters before there was any Constitution of the United States" observed one delegate;4 "[T]he state constitution is as much or more the charter of our liberties" declared another; reliance on the federal Constitution as the principal author of liberties would be "a mistake historically, a mistake in law, and it is a blunder all around."5 The delegates contented themselves with a declaration that the "State of California is an inseparable part of the Union, and the United States Constitution is the supreme law of the land."6

It was not until the new century and *Lochner v. New York*7 that the high court established the proposition that the Due Process Clause of the 14th Amendment contained substantive protection against deprivation of economic liberty, and the broader incorporation of portions of the Bill of Rights into the 14th Amendment came later, and only bit by bit.8 Meanwhile, with respect to actions by state government, the state constitution was pretty much the only game in town.

Article I of the California Constitution, "Declaration of Rights," was the first substantive item on the agenda of the 1849 constitutional convention. The original committee draft consisted of sixteen sections, based upon or copied from the constitutions of two other states, nine of them from New York's 1846 constitution and seven

5. Id. at 238 (delegate Howard).
6. The 1879 language, modified to substitute "United States of America" for the term "Union," appears now in article III, section 1.
7. 198 U.S. 45, 25 (1905). The holding in *Lochner* was presaged by dicta in *Allgeyer v. Louisiana*, 165 U.S. 578 (1897).
8. In *Twining v. New Jersey*, 211 U.S. 78, 99 (1908) the high court acknowledged the "possib[ility] that some of the personal rights safeguarded by the first eight Amendments against National action may also be safeguarded against state action, because a denial of them would be a denial of due process of law", but it was not until *Palko v. Connecticut*, 302 U.S. 319 (1937) that the court set forth a theory of selective incorporation.
from the Iowa Constitution of the same year. The committee draft was modified in some respects, and supplemented by the addition of two provisions. One of these affirmed the principle of popular sovereignty: "All political power is inherent in the people. Government is instituted for the protection, security, and benefit of the people, and they have a right at all times, to alter or reform the same whenever the public good may require it." The other incorporated natural law principles: "All men are by nature free and independent, and have certain inalienable rights, among which are those of enjoying and defending life and liberty, acquiring, possessing, and protecting property, and pursuing and obtaining safety and happiness." This latter provision, amended by addition of the right to "privacy," exists in the current state constitution as article I, section 1.

The 1849 constitution provided for a supreme court consisting of a chief justice and two associate justices, initially appointed by the state legislature for staggered terms of two, four, and six years, and thereafter elected for terms of six years. The first legislature elected Serranus C. Hastings (who had been chief justice of the Iowa Supreme Court, and was later to become the founder of Hastings College of the Law) as chief justice, and Henry A. Lyons and Nathaniel Bennett as associate justices. Lyons and Bennett were to serve four and six years respectively, but both resigned after only two years. Indeed, the early period of the court was characterized by considerable turnover in personnel. Of the total fifteen justices who served from 1850 to 1862 (when the constitution was amended to increase the number of justices from three to five), only one (Hugh C. Murray) served out his term. Solmon Heydenfeld, (incidentally the first Jewish member of the Court), came close, resigning after five years. The others died or retired before their term expired.

11. Id. at § 1.
12. Id.
13. According to J. EDWARD JOHNSON'S HISTORY OF THE SUPREME COURT JUSTICES OF CALIFORNIA (1963), Bennett resigned pursuant to financial inducements offered by a group of influential land owners who wanted him off the court in order to make way for reversal of his decision in Woodworth v. Fulton, 1 Cal. 295 (1850). They succeeded. See Stevens & Walker v. Stewart, 3 Cal. 140 (1853).
The first group of justices was a diverse and rather colorful lot. The most colorful by far was David Terry, who was elected to the court as a candidate of the Know-Nothing Party in 1855, and became chief justice in 1857. A pro-slavery southerner and a person of volatile temperament, he left the court in 1859 after losing out to Stephen Field for re-election as chief justice, and achieved infamy by killing United States Senator David Broderick in a duel. Terry himself was killed by Field's bodyguard on board a train, after Terry allegedly attacked Field. Hugh C. Murray, elected to the court in 1851 and chief justice from 1852 to 1857, ran a close second to Terry in volatility. Pro-slavery as well, he reportedly pulled a Bowie knife on pro-abolitionist John C. Conness and chased him around a San Francisco ballroom in 1853, and three years later assaulted a Sacramento abolitionist with a heavy bludgeon.14

But Terry and Murray were good lawyers, and their eccentricities were balanced by other justices with greater gravitas, including Hastings and Hedenfeldt, as well as Peter Burnett (California's first governor), Joseph Baldwin, and others. The best known of these less flamboyant justices was Stephen J. Field, who served from 1858 to 1863 (the last four years as chief justice) before being appointed by President Lincoln to the United States Supreme Court. Field, a lawyer's lawyer, came to California in 1849, after practicing law in New York with his famous brother, David Dudley Field, of Field Code fame, and distinguished himself as an alcalde, a member of the State Assembly, and principal author of California's civil and criminal practice acts before his election to the supreme court in 1857.

In addition to the court's institutional instability, and the occasional instability of some of its justices, the court's work reflected an often rather casual attitude toward participation in decisions, and a lack of strong commitment regarding precedent, or even consistency. Often judgments would issue over the signature of only two justices, with no accounting for the views of the third. And often the court would depart from a prior holding without explanation, sometimes with the barest of reference.

Even so, the opinions provide a fascinating window into a turbulent time when the California justices found themselves on a jurisprudential frontier that was as rough and tumultuous as the controversies to which the opinions related. Required to make

decisions with very little guidance, the justices received none from the court in Washington, D.C. Moreover, underlying the legal issues the justices were called upon to decide were controversies over interpretive methodology and the role of the courts that are with us to this day—issues such as the weight to be given constitutional language compared to what the judges knew, or thought they knew, about the intent of the framers; the role of precedent from other jurisdictions; how to distinguish between the legitimate and illegitimate use of legislative power; the distinction between finding and making law; and the role of the judges' personal philosophies about governance and society. The answers which the first California justices gave to these questions, explicitly or (more often) implicitly, seem at this distance in time and context often a bit naive, even opaque, and, it is perhaps true that little is to be learned from the opinions themselves concerning constitutional methodology that we do not already know. But there is a freshness to their work, and a pragmatism, which serves as a useful backdrop to what we like to think of as our more sophisticated modern theories of constitutional analysis.

I. Slavery and the Court

The delegates to the 1849 constitutional convention unanimously approved a proposal by one of the delegates, William Shannon, to prohibit slavery—a proposal which became article I, section 18 of the new constitution. The motivation behind that proposal was as much pragmatic as idealistic. Shannon deplored slavery, but his constituency, mainly miners in a mining district along the Yuba River, deplored slave owners, not necessarily because they kept slaves, but because they located claims in the names of their slaves. Moreover, many delegates opposed slavery because they believed it would create ruinous competition for free white laborers. Clearly the delegates were not free from racism: a proposal to prohibit "free persons of color from immigrating to and settling in the State" was approved by the committee on article I, though it was defeated in the Committee of the Whole, perhaps due to fear that the provision would complicate California's admission as a state.

Despite the constitutional prohibition, the issue of slavery, and the broader issue of North versus South, continued to divide

15. The provision is now contained in article I, section 6: "Slavery is prohibited. Involuntary servitude is prohibited except to punish crime."
16. See Grodin, et. al. supra note 9, at 7-8.
17. Id.
Californians. Indeed, from the time of California's admission as a state in 1850 to the time of the Civil War the state was deeply divided between pro-slavery and anti-slavery factions within the dominant Democratic party, and during the early years the pro-slavery (or "Chivalry") faction—except for the very quick emergence and decline of the Know-Nothing party in 1855—was in control. Charles W. McCurdy observes that every California congressman elected in the mid-1850's grew up in a slave state, and of the seven justices who served on the California Supreme Court between 1852 and 1857, all but two came from the South, and one of these two—Alexander Wells of New York City—had been a supporter of John C. Calhoun in his 1844 bid for the presidency.\footnote{18. McCurdy, supra note 14, at 2.} The anti-slavery faction did not achieve ascendance until just before the Civil War.

The slavery issue reached the court in relation to fugitive slaves. In 1852 the State Legislature enacted a statute, patterned after the federal Fugitive Slave Law, which provided that slaves who had been voluntarily introduced into the state before the adoption of the constitution, and who refused, upon the demand of their owner, to return to the state where they "owed labor," should be deemed fugitives and, upon petition by their owner, returned to the owner's custody.\footnote{19. CAL. CODE, chap. 33 (1852).} Two former slaves, Carter and Robert Perkins, brought to California by their master before adoption of the first state constitution, had asserted their freedom, and for some months were engaged in business themselves; but when the 1852 statute was enacted they were arrested on the claim of the master. They petitioned for a writ of \textit{habeas corpus} contending, among other things, that the statute was invalid because of conflict with article I, section 18. The supreme court, in opinions by Justices Murray and Anderson, concluded otherwise, characterizing the provisions of section 18 "directory only," requiring legislation for their implementation.\footnote{20. In re Carter Perkins and Robert Perkins, 2 Cal. 424 (1852). The Constitution was amended in 1879, in reaction, to provide what is now article I, section 26: "The provisions of this Constitution are mandatory or prohibitory, unless by express words they are declared to be otherwise." See Oakland Paving Co. v. Hilton, 69 Cal. 479 (1886).}

Six years later, however, in 1858, the court confronted another fugitive slave case, and this time the court's response was a bit different.\footnote{21. In re Archy, 9 Cal. 147 (1858).} Charles Stovall, who resided in Mississippi,\footnote{22.} came to
California in 1857—"for his health" he asserted, intending to return within eighteen months—and brought with him Archy, a "family negro servant" (otherwise described in the opinion as a slave) who was nineteen years of age. Arriving in Sacramento, he hired Archy out for "upwards of a month" while Stovall taught a private school. After two months, Stovall placed Archy on a river steamer bound for San Francisco, with the intention of sending him, in charge of an "agent," back to Mississippi, but Archy escaped from the boat. Stovall applied to a justice of the peace for an arrest warrant, which was issued. Archy was apprehended and held in the city prison of Sacramento, but the local police chief declined to turn him over to Stovall, so Stovall sought a writ of habeas corpus pursuant to the state Fugitive Slave Law. Two justices of the supreme court considered the case: David Terry (who had become chief justice) and Peter Burnett. Stephen Field, appointed to the supreme court the previous year, was on leave from the court, and out of the state at the time Archy's case was decided. As frequently occurred during this period, the decision was a two-justice opinion.

As described by Justice Burnett, the "case has excited much interest and feeling, and gives rise to many questions of great delicacy [not so much because of] the rights of the parties immediately concerned in this particular case, as the bearing of the decision upon our future relations with our sister States"—meaning, of course, the states in the South. After considerable and not altogether consistent wandering through the thickets of precedent concerning the right of slave-owning citizens to bring their slaves with them when traveling to a state in which slavery is not permitted, Justice Burnett arrived at the following principle: a "mere visitor [who] comes only for pleasure or health, and who engages in no business while here, and remains only for a reasonable time" is permitted to bring his personal attendant, even if that be a slave, but "[i]f the party engages in any business himself, or employ his slave in any business, except as mere personal attendant upon himself, or family, then the character of visitor is lost, and the slave is entitled to freedom." And, said Justice Burnett, the prohibition of slavery contained in article I, section 18 is self-executing, and requires no legislation for its implementation, Justice

---

22. 1858 was also the year in which a bill was introduced into the Assembly to prohibit in-state immigration and residence for negroes and mulattoes. After a long bitter fight, the bill never became law. Assembly Journal, 1858, 408, 462.

23. Archy, 9 Cal. at 162.

24. Id. at 168.
Anderson's statement to the contrary in *Perkins* notwithstanding.

By this reasoning, Stovall should have lost his case—and would have, said Justice Burnett, but for the circumstances and the consideration that he presumably had some reason to believe, from the opinions in *Perkins*, that the constitutional provision would have no immediate operation. Declaring its intent to apply the rules strictly in the future, Justice Burnett decided that the rule announced in Archy's case should not apply to Archy, and that he should be returned to his master. Chief Justice Terry concurred.

In anti-slavery circles, the court's opinion was not well received. San Francisco's *Daily Alta California* (which was owned at the time by David Broderick) criticized Justice Burnett's opinion for "setting forth a rule and then not follow it," and characterized it as a "crowning absurdity and the greatest mass of legal contradictions that has ever come under our notice." Both justices, the newspaper proclaimed, "have not only disgraced themselves but have brought odium on the state by this decision, and rendered the Supreme Bench of California a laughing stock in the eyes of the world." Joseph G. Baldwin, who succeeded Burnett on the court, sarcastically summarized the case as holding that the constitution does not apply to young men traveling for their health; that it does not apply for the first time, and that the decisions of the supreme court are not to be taken as precedents. Even Justice Field, who did not participate in the decision, made known his disagreement with the court's ruling.

There was a surprising and gratifying (if somewhat confusing) sequel to the case. Following the supreme court's decision, Archy, after again escaping and being recaptured, was put on a boat to San Francisco for transport back to Mississippi, but in San Francisco a friend of Archy by the name of James Riker sought a second writ of *habeas corpus*, this time for the release of Archy on the ground he was a slave. That case came to be heard before a state judge in San Francisco, but while it was pending Stovall invoked the jurisdiction of a United States Commissioner (George Pen Johnson) on the ground (inconsistent with Stovall's previous declarations) that Archy had escaped from Mississippi, and at the request of Stovall's lawyers, Archy was turned over to the custody of Commissioner Johnson. On

26. *Id.*
April 14, 1858, Johnson decided that Archy was not a fugitive slave after all, and discharged him from custody.  

II. Title Holders vs. Settlers

In addition to the slavery issue, California was split over a second fault line, created by the huge influx of population following the discovery of gold in 1850, and the uncertainty of land ownership, particularly in the Sacramento Valley. Landowners who claimed title through the old Mexican land grants came into collision with settlers who, either oblivious to or in disregard of legal ownership, settled on the land and built homes and other improvements. Battles between these two groups reached the early California Legislature, which enacted legislation tending to favor the settlers at the expense of the title owners.

The most controversial piece of legislation was the Settler Law of 1856, which required the plaintiff in an ejectment action to pay the defendant the value of improvement that the defendant had made to the land. The constitutionality of that legislation came before the supreme court in Billings v. Hall. The plaintiff, Billings, had purchased certain lots in the city of Sacramento, originally owned by John Sutter, and sought to eject the defendant, Hall, who was occupying the land and had constructed certain improvements with a value approximating the value of the land. Hall invoked a claim of adverse possession and, in the alternative, the Settler Law of 1856. A jury found for Hall on both defenses, and Billings appealed.

The court at that time was comprised of Hugh C. Murray as chief justice, and Peter Burnett and David Terry as associate justices. All three justices agreed that the defendant was not entitled to adverse possession, the time prescribed by statute not having run. The issue dividing the court was the constitutionality of the Settler Law and on that issue the court held, 2-1, with all three justices writing separately,

29. DAILY ALTA CAL., Apr. 15, 1858, at 1; THEODORE H. HITTELL, HISTORY OF CALIFORNIA, Vol. II 246 (1897).
30. The battle is described in Paul W. Gates, California's Embattled Settlers, 41 CALIFORNIA HISTORICAL SOCIETY 99 (1962).
32. 7 Cal. 1 (1857).
33. The case went to a jury, which found the value of the lots to be two thousand dollars and the value of the improvements nineteen hundred dollars. Id. at 2.
34. Id. at 1.
that the law was unconstitutional. 35

Chief Justice Murray's opinion, by far the most elaborate, rejected plaintiff's contention that the Settler Act was law impairing the obligations of contracts in violation of the federal Constitution, 36 but concluded nevertheless that the Settler Act violated the state constitution. His analysis began with article I, section 1, and its broad statement of the right to acquire, possess, and protect property. The chief justice declared:

This principle is as old as the Magna Carta. It lies at the foundation of every constitutional government, and is necessary to the existence of civil liberty and free institutions. It was not lightly incorporated into the Constitution of this State as one of those political dogmas designed to tickle the popular ear, and conveying no substantial meaning or idea; but as one of those fundamental principles of enlightened government, without a rigorous observance of which there could be neither liberty nor safety to the citizen.

If, then, one of the primary objects of government is to enable the citizens to acquire, possess, and defend property, and this right has been guaranteed by the Constitution, how can it be impaired by legislation? 37

The answer, it turned out, was that it could not, for:

[I]f a law which imposes upon a party, as a condition of the recovery of his property, payment for the improvements which were his already, or denies him the rents and profits of the land, can be upheld, then an Act which divests the right entirely could be maintained, as we see no difference in the principle between taking a part and taking the whole. 38

Justice Burnett's concurring opinion was in substantial agreement, emphasizing the explicit incorporation into the state

35. Id.

36. Billings, 7 Cal. at 5-6 (stating:
We are not disposed to give much weight to this argument. We think it springs from a misconception of the true relation that exists between the State and Federal governments; that the State governments have the exclusive right to regulate their own internal or domestic affairs, except when they have expressly parted with the power; that all questions of property are within the jurisdiction of the respective States, and that the individual members thereof, in forming a government are not to be considered as contractors with the government thereby ordained, in the sense in which that term is employed in the Constitution of the United States. It is but fair to suppose that individuals who sacrifice, or part with, a portion of their natural rights for the common good of all, have just reason to believe that the rights reserved will be respected or maintained inviolate, but this agreement is a social compact, and not stricti juris a contract.)

37. Id. at 6.

38. Id. at 5-6.
constitution of certain rights which had been recognized in the tradition of natural law:

[F]or the Constitution to declare a right inalienable, and at the same time leave the Legislature unlimited power over it, would be a contradiction in terms, an idle provision, proving that a Constitution was a mere parchment barrier, insufficient to protect the citizen, delusive and visionary, and the practical result of which would be to destroy, not conserve, the rights it vainly presumed to protect.39

Justice Terry dissented, rejecting the natural law position of the majority and insisting upon the prerogatives of the legislative branch.40 Article I, section 1 of the California Constitution, he insisted, was: "a mere reiteration of a truism which is as old as constitutional government. A similar declaration is contained in the Constitutions of most of the States of the Union, but, I think, has never been construed as a limitation on the power of the government."41 In language echoing the opinions of today's "strict constructionists," Justice Terry insisted that "[w]e cannot declare a legislative act void because it conflicts with our opinion of policy, expediency, or justice. We are not guardians of the rights of the people of the State, unless they are secured by some constitutional provision which comes within our judicial cognizance."42

The Settler Act, Terry argued, reflects a policy on the part of the legislature to encourage the settlement and cultivation of unoccupied land, and in response to that policy many settlers made improvements under the bona fide belief that the land settled upon was a portion of the public domain. "Under these circumstances," he concluded,

we may well doubt whether it would be a greater violation of natural justice to deprive hundreds of citizens and their families of the homes erected by the labor of years, without making any compensation for the improvements which constitute a great part of the value of those homes, or to permit them to retain possession of them upon paying to the owner of the soil the full value of all that is really his own.43

39. Id. at 17.
40. Id. at 19 (Terry, J., dissenting).
42. Billings, 7 Cal. at 21 (Terry, J., dissenting).
43. Billings, 7 Cal. at 26. In the same term, the court decided McCann v. Sierra County, 7 Cal. 121 (1857), a brief and unanimous opinion holding that the state constitutional requirement for just compensation upon a taking of property for public use (then article I, section 8) meant that compensation must be paid prior to or at the time of
III. The Sunday Closing Law Cases: Newman and Andrews

It was in a constitutional case involving article I that Justices Terry and Fields first disagreed in a published opinion. The case was *Ex Parte Newman*, the year was 1858, and the issue was the validity of a Sunday Closing Law which had been adopted earlier that year by the state legislature. The statute, entitled "An Act to Provide for the better observance of the Sabbath," made it a crime, punishable by $50 fine plus $20 for the costs of prosecution, to engage in business on a Sunday.

Earlier proposals for such a Sunday closing law had been supported in the Legislature by arguments of a brazenly anti-semitic nature and the 1857 statute was challenged by a Jewish merchant, the owner of a Sacramento clothing store, who was arrested after he persisted in keeping his store open on Sundays. Newman's attorney, Daniel Webster Welty, sought a writ of *habeas corpus* from the California Supreme Court and was joined in the briefs and the argument by Solomon Heydenfeldt, who had resigned from the court earlier that year.

Newman's lawyers argued that the statute was unconstitutional on two grounds: that it constituted an interference with the right to acquire property in violation of article I, section 1, and that it constituted religious discrimination prohibited by article I, section 4, which then provided:

> [t]he free exercise and enjoyment of religious profession and worship, without discrimination or preference, shall be forever

the taking, and could not be deferred. The most notable aspect of that case was that Stephen Field argued the plaintiff's cause. He was soon to join the court.

44. 9 Cal. 502 (1858).

45. *Id.*

46. During a debate on the proposed statute on March 16, 1855, Speaker of the Assembly William W. Stow of Santa Cruz declared that he had

> no sympathy with the Jews, who ought to respect the laws and opinions of the majority. They were a class of people who only came here to make money, and leave as soon as they had effected their object. They did not invest their money in the country or cities. They all intended and hoped to settle in their 'New Jerusalem.'

He was in favor of inflicting "such a tax upon them as would act as a prohibition to their residence among us. The Bible lay at the foundation of our institution, and its ordinances ought to be covered and adhered to in legislating for the state." E.G. Buffum, an Assemblyman from San Francisco, countered that the bill "would act more for the protection of certain merchants of Santa Cruz and Santa Clara, who found their trade interfered with, because the Jew[ish] merchants saw fit to open their shops on a Sunday." 13 OCCIDENT AND AM. JEWISH ADVOCATE 124 (1855), quoted in JEWISH VOICES OF THE CALIFORNIA GOLD RUSH 408 (Ava F. Kahn ed., 2002).
allowed in this state... the liberty of conscience hereby secured shall not be so construed as to excuse acts of licentiousness or justify practices inconsistent with the peace or safety of this state.

A majority of the court, consisting of Justice Terry (now chief justice) and Justice Burnett, agreed with both arguments, making California probably the first state in which a Sunday closing law was struck down. Terry wrote the opinion, in which Justice Burnett joined.

Terry was not prepared to accept a non-religious explanation for the statute. He had no doubt that the law was intended "for the benefit of religion," and to "enforce, as a religious institution the observance of a day held sacred by the followers of one faith, and entirely disregarded by all the other denominations within the State." It was, therefore, in violation of article I, section 4, which in Terry's view was meant not merely to guarantee "toleration," but to assure "a complete separation between Church and State, and a perfect equality without distinction between all religious sects."

Terry went on to argue that even if viewed as a "civil regulation" the statute was invalid under article I, section 1, because "without necessity, it infringes upon the liberty of the citizen, by restraining his right to acquire property." The argument is straight out of John Locke, and leads in the direction of Lochner: "men have a natural right to do anything which their inclinations may suggest, if it be not

47. CAL. CONST. art. I, §§ 1, 4 (amended 1879). The 1879 constitution expanded the separation of church and state in California by adding broad language (now contained in article XVI, section 5), prohibiting public aid to religion or religious institutions and banning state aid to sectarian schools (now article IX, section 8). Article I, section 4 was itself strengthened by the 1879 constitution to substitute "guaranteed" for the word "allowed." The present language of section 4, which prohibits any law "respecting an establishment of religion," was added in 1974.

48. Sunday closing laws were widespread in the early decades of the nineteenth century (see Kurt T. Lash, The Second Adoption of the Establishment Clause: The Rise of the Nonestablishment Principle, 27 ARIZ. ST. L.J. 1085, 1104 (1985)), and in the early cases were candidly accepted as a proper exercise of state power to further religious objectives (E.g., Commonwealth v. Wolf, 3 Serg. & Rawle 48 (Pa. 1817) (stressing the need to remind the populace "of their religious duties at stated periods.")). By the time Newman was decided, state courts had backed away from the religious justification for Sunday closing laws, but were upholding them as reasonable civil regulations of the workweek (see McGowan v. Maryland, 366 U.S. 420 (1961), and id. at 470-473 (Frankfurter, J., separate opinion)). Newman was an aberration.

49. Newman, 9 Cal. at 505.
50. Id.
51. Id. at 510.
52. 198 U.S. at 45.
evil in itself, and in no way impairs the rights of others." The legislature may restrain individual conduct so as to protect others "from every species of danger to person, health, and property," but this statute could not be justified on those grounds:

Now, when we come to inquire what reason can be given for the claim of power to enact a Sunday law, we are told, looking at it in its purely civil aspect, that it is absolutely necessary for the benefit of his [sic] health and the restoration of his [sic] powers, and in aid of this great social necessity, the Legislature may, for the general convenience, set apart a particular day of rest, and require its observance by all.

This argument is founded on the assumption that mankind are in the habit of working too much, and thereby entailing evil upon society, and that without compulsion they will not seek the necessary repose which their exhausted natures demand. This is to us a new theory, and is contradicted by the history of the past and the observations of the present. We have heard, in all ages, of declamations and reproaches against the vice of indolence, but we have yet to learn that there has ever been any general complaint of an intemperate, vicious, unhealthy, or morbid industry.

As well might the Legislature fix the days and hours of work, and enforce their observance by an unbending rule which shall be visited alike upon the weak and strong. Whenever such attempts are made, the law-making power leaves its legitimate sphere, and makes an incursion in the realms of physiology, and its enactments, like the sumptuary laws of the ancients, which prescribe the mode and texture of people's clothing, or similar laws which might prescribe and limit our food and drink, must be regarded as an invasion, without reason or necessity, of the natural rights of the citizen, which are guaranteed by the fundamental law.

The inconsistency between Terry's defense of judicial activism based on natural justice principles and judicial activism in Newman and his earlier rejection of those principles in Billings was of course obvious to all participants. Indeed, the state Attorney General, in oral argument, quoted extensively from Terry's opinion in Billings, to which Terry responded, "[t]hat was not the opinion of the Court," and in his Newman opinion he defended the switch by bowing humbly to precedent, stating "the doctrine announced in Billings, having received the sanction of the majority of the Court, has become the

53. Id.
55. SACRAMENTO DAILY UNION, June 22, 1858, at 5.
rule of decision, and it is the duty of the Court to see it is uniformly enforced, and that its application is not confined to a particular class of cases."

Justice Field, in dissent, complained in now familiar terms that the opinions of his colleagues "appear to me to assert a power in the judiciary never contemplated by the Constitution." As to section 4, Field insisted it was improper to delve into the motives of the legislature. Since the law on its face did not allude to the subject of religious profession or worship, it was appropriate to consider it as establishing a rule of civil conduct "founded in experience and sustained by science," and in any event immune from judicial interference as:

[t]he Legislature possesses the undoubted right to pass laws for the preservation of health and the promotion of good morals, and if it is of opinion that periodical cessation from labor will tend to both, and thinks proper to carry its opinion into a statutory enactment on the subject, there is no power, outside of its constituents, which can sit in judgment upon its action.

It was "no answer," Justice Field insisted, to say that people do not need protection against over-work, and he reasoned:

The relations of superior and subordinate, master and servant, principal and clerk, always have and always will exist. Labor is in a great degree dependent upon capital, and unless the exercise of the power which capital affords is restrained, those who are obliged to labor will not possess the freedom for rest which they would otherwise exercise... It is idle to talk of a man's freedom to rest when his wife and children are looking to his daily labor for their daily support.

The authority of Ex Parte Newman was of brief duration. Three years later, in 1861, the legislature again enacted a Sunday closing law, virtually identical to the one declared unconstitutional in Newman. By that time the composition of the court had changed; Justices Burnett and Terry had left, replaced by Justices Baldwin and Cope, and Field was now chief justice. Perhaps the legislature

56. *Newman*, 9 Cal. at 518 (Field, J., dissenting).
57. *Id.*
58. *Id.* at 520.
59. *Id.*
60. In 1858, the composition of the court was the focus of the ongoing battle between landowners, who favored title, and the miners and settlers, who favored possession. The miners and settlers favored Burnett and opposed Baldwin, whom they saw as a friend of the landowners, but Baldwin won despite their opposition, taking his seat on the court October 2, 1858. The court then consisted of Baldwin, Terry, and Field. Terry left the court in the fall of 1858, one year short of the end of his term, after he failed to obtain the
anticipated that a differently composed supreme court would come to a different conclusion, and if so they were right. With only an allusion to *Newman*, and without explicitly overruling it, the court upheld the law.\textsuperscript{61}

Justice Baldwin's opinion, joined by both other justices, dismissed article I, section 1 in language that might have been used by Justice Holmes in his *Lochner* dissent, or by the United States Supreme Court in the late 1930's.\textsuperscript{62} Observing that the right of "acquiring property" does not deprive the legislature of the "power of prescribing the mode of acquisition or of regulating the conduct and relations of the members of the society in respect to property rights," the opinion declared that the legislature may "repress whatever is hurtful to the general good," and that the legislature "must generally be the exclusive judge of what is or is not hurtful including 'moral' as well as 'physical' harms." The legislature might have believed that the law provides "indirectly protection against oppression to employees, women, apprentices and servants," and the court held:

These are considerations for the lawgiver and do not come within our province. We merely allude to them to show that the Legislature may consider and give effect to them; for it is impossible for us to see why that department may not protect and regulate labor and the relations of the different members of society so that one class may not injure a dependent class—the master the apprentice—the husband the wife—the parent the child—or why, if it be the interest of the whole society that no

---

\textsuperscript{61} *Ex parte* Andrews, 18 Cal. 678, 682 (1861).

\textsuperscript{62} *Id.*
labor not necessary should be done on a given day, it may not prohibit it on that day.\textsuperscript{63}

As to article I, section 4, the Andrews court observed that the statute "requires no man to profess or support any school or system of religious faith, or even to have any religion at all; it does not require him to contribute money to any sect, or to attend any church or meeting," and "does not discriminate in favor of any sect, system, or school in the matter of their religion."\textsuperscript{64} The title of the statute ("For the Observance of the Sabbath") does not establish a religious motive on the part of the legislature, and so long as the law is aimed at secular interests, that it may also "promote piety" is no objection.\textsuperscript{65} The opinion refers the reader to Justice Field's dissent in Newman for further enlightenment.\textsuperscript{66}

\section*{IV. Early Approaches to the Requirement for Uniformity}

The 1849 Constitution contained a requirement, in article I, section 11, that "all laws of a general nature shall have a uniform operation," and a requirement in article XI, section 13 that "taxation shall be equal and uniform throughout the State." From the outset, the California Supreme Court read both these provisions with considerable deference to legislative judgment.

Article XI, section 13 came before the court in People v. Naglee,\textsuperscript{67} involving the validity under both federal and state constitutions of a statute requiring "foreigners" to procure a license for the privilege of mining. The court upheld the statute against all challenges, finding article XI, section 13 inapplicable on the ground that it applied only to a "direct tax on property."\textsuperscript{68}

Several years later, in People v. Coleman\textsuperscript{69} the court reached the same conclusion, rejecting an article XI, section 13 challenge to the 1853 Revenue Act, which imposed a tax of 60 cents on every 100 dollars worth of goods brought into the state from any other state or foreign country, and sold in California. Instead of simply relying upon Naglee for that proposition, however, the court embarked upon

\textsuperscript{63} Id. at 683.
\textsuperscript{64} Id.
\textsuperscript{65} Id.
\textsuperscript{66} Id. at 684.
\textsuperscript{67} 1 Cal. 232 (1850).
\textsuperscript{68} Id.
\textsuperscript{69} 4 Cal. 46, 50 (1854).
an inquiry into the legislative history of the constitutional language$^{70}$ and upon its pre-1849 interpretation by the courts of New York, from which the language was derived. The court said:

It is a safe rule of construction that, when framing the organic law of this State, the Convention thought proper to borrow provisions from the Constitutions of other States, which provisions had already received a judicial construction, they adopted the provisions in view of such construction and acquiesced in their construction.$^{71}$

The court in Coleman also rejected a claim that the Revenue Act violated the requirement for uniformity contained in article I, section 11, responding:

[t]he idea of a revenue law which is equal in its operation, is entirely Utopian, and never can be realized. If the Legislature should pass an act designedly operating unequally, or if a want of uniformity in its operation was apparent on its face, it would be the duty of this Court to interpose, and prevent the commission of so grave an injustice . . . . But if, in trying to approximate to a correct standard, the law may work a hardship in particular supposed cases, it would rather be a consideration for the Legislature than an argument for the Courts.$^{72}$

The criterion implied by the court's analysis is one of good faith on the part of the legislative branch.

In subsequent cases, the court rendered article I, section 11 virtually meaningless by insisting that it applied only to "general" laws and not to "special" laws. This rather reductionist reasoning led the court in Smith v. Judge of the Twelfth District$^{73}$ to uphold an act of the legislature which changed the venue for the trial of one Homer Smith for the murder of Samuel T. Newell from San Francisco, where the murder occurred, to Auburn where, according to the legislative

70. As initially proposed, the language referred to "all lands liable to taxation." This was amended first to substitute "immovable and movable property" for the word "lands" and then to read "all property," and providing for the election of Assessors in each County. The court's opinion asserts that "in adopting this provision as a substitute the Convention seems to have supposed that it applied to lands only." This inference is (rather weakly) supported by a general observation that "the expediency of placing any limitation or restraint upon the taxing power of the Legislature was strongly doubted, and the clause only adopted as a pledge of security to the native inhabitants, against imaginary cases of inequality or arbitrary exactions," and (perhaps more strongly) by statements which were made by the delegate, Mr. Gwin, who proposed the final language. He referred to having copied the provision from the Constitution of Texas, and referred to assessors being residents of the country or district "in which the lands are situated." Id.

71. Coleman, 4 Cal. at 50.

72. Id. at 56.

73. 17 Cal. 547 (1861).
findings, both the accused and the deceased and most of the relevant witnesses resided. The trial court in San Francisco declined to comply with the legislature's directive, considering it a violation of both the requirement for uniformity of legislation and the prerogatives of the judiciary. The supreme court, in an opinion by Justice Baldwin, rejected both arguments; the first on the ground that the law was "special" and therefore not subject to the requirements of article I, section 11, and the second on the (rather disingenuous) reasoning that while the legislature could not dictate to a court how to decide a particular case, it could, and did, enact a law which the court was bound to follow. Justice Field concurred only in the judgment.

Smith, and subsequent decisions following its distinction between general and special laws led to a provision in the constitution of 1879 limiting "special legislation." Meanwhile the court had occasion to consider an 1861 statute which amended the statute upheld in Naglee to provide that "all foreigners not eligible to become citizens of the United States [read "Chinese"] residing in any mining district in this State, shall be considered miners under the provisions of this Act." In other words, Chinese living in a mining district were to pay the tax whether miners or not. Ah Pong was unquestionably not a miner but a washerman; but, under the terms of the statute the tax was imposed, and Ah Pong, being unable to pay, was conscripted to work on the public roads a sufficient number of days to exhaust the sum due. When he refused, and was sent to jail, he filed a petition for writ of habeas corpus with the supreme court.

74. Id.
75. It was "absurd" Justice Baldwin added, to think that the rules of Criminal Practice could apply to all alleged criminals alike, "for different classes of crimes may and do call for different rules of procedure, and so might different classes of criminals, as Chinamen, etc." Smith, 17 Cal. at 547.
76. Id.
77. E.g., Brooks v. Hyde, 37 Cal. 366 (1869).
78. Article IV, section 25 of the 1879 Constitution provided that the legislature shall not pass "local or special laws" in thirty-two enumerated areas, and "in all other cases where a general law can be made applicable." California courts came to rely upon that provision, in conjunction with article I, section 11, as establishing an equal protection principle similar to that developed under the 14th Amendment. E.g. Britton v. Bd. of Comm'rs, 129 Cal. 337 (1900).
80. Id.
81. Id.
Though 1861 was the year the court decided *Andrews* and *Smith*, both containing broad declarations of deference to legislative judgment, the court in Ah Pong's case granted the writ, apparently holding the statute unconstitutional, though on what ground is not clear. In a cryptic one-sentence opinion, Justice Baldwin, for a unanimous court (which included Justices Field and Cope) declared: "If the Act is to be construed as imposing this tax, it cannot be supported, any more than could a law be sustained which imposed upon every man residing in a given section of the State a license as a merchant, whatever his occupation."\(^{82}\) From a modern perspective the case looks like an early application of substantive due process, or perhaps the conclusive presumption branch of procedural due process, but Justice Baldwin found no need to refer to any constitutional provision in support of the court's holding. Perhaps it was Stephen Field's bid for a seat on the United States Supreme Court, or perhaps it presaged a broader scope for the equal protection principle which the court had previously rejected.

**Conclusion**

Most of what the California Supreme Court had to say during the pre-Civil War period about rights under the state constitution is no longer of substantive interest. Slavery ceased to be an issue after the war; battles over the power of the legislature to define property rights have (for the most part) moved beyond debates over natural rights; the kinds of constitutional questions raised by Sunday closing laws have become subject to more sophisticated (if not more helpful) analysis; and the arguments over the meaning of the "uniformity" requirement in the 1849 constitution have been rendered largely moot by subsequent constitutional changes.

The contemporary significance of the early opinions, apart from their colorful eccentricities, lies in their power to remind us of the meaning of state constitutional independence during a period in which the federal Bill of Rights was still awaiting judicial development. As the years went by, the situation changed. The adoption of the 13\(^{th}\), 14\(^{th}\), and 15\(^{th}\) Amendments after the Civil War, the U.S. Supreme Court's expansive interpretation of the 14\(^{th}\) Amendment's Due Process Clause in *Lochner*, and the Court's subsequent utilization of the Due Process Clause as a vehicle for applying against states the protections which citizens have under most

---

\(^{82}\) *Ah Pong*, 19 Cal. at 108.
of the Bill of Rights—all of this dramatically altered the relationship between states and the federal government in the arena of constitutional rights. None of these changes deprived the states of the power of their own constitutions to protect rights independently of the federal Constitution; they merely established a floor of federal protection. Nonetheless, as the high court began to ascribe meaning to federally protected rights, state courts, including the California Supreme Court, began to rely increasingly on federal constitutional analysis, and to relegate state constitutional rights to a secondary, almost forgotten, position.

The 1970's brought a revival of interest in state constitutions, marked in California by the 1974 declaration, in article I, section 24, of the independence of state constitutional rights; and in recent years the California Supreme Court has come to take state constitutional claims, and its obligation to examine them independently, more seriously. In performing that obligation, however, the court has at times displayed what I would characterize as inappropriate modesty, both by relying upon the federal Constitution without considering the state constitution, and by deferring unnecessarily to federal constitutional interpretation as a starting point for interpreting like language in the state constitution. The modesty is inappropriate, I would argue, both as a matter of theory and as a matter of practicality, but those arguments must await a further article, focusing upon the current court and its state constitutional jurisprudence. Meanwhile, the early history of the California court and its treatment of state constitutional claims provide useful insight into the historical meaning of state constitutional independence.
***